

## APPLICATION FORM FOR: BROKERAGE SERVICES



- **Please return this form via eMail to : [info@bhgroup.eu](mailto:info@bhgroup.eu)**

- **Applicant company information (if applicable)**

Company Name	
Company Registration Number	
Registered Company Address	
Company Mailing Address (if different)	
Company Telephone / Facsimile number	(+____) / (+____)
Company E-mail Address	
Date Company established / prospective start date	

- **Applicant representative information**

Individual Name	
Title/Position/Authority	
Mailing Address	
Mobile/Cell Phone number	(+____)
Facsimile Number	(+____)
E-Mail Address	
Place of Birth	
Passport Number	
Issuing Country Passport	
Date of Expiration	

▪ **Applicant Lawyer / Attorney information**

Name of Attorney	
Name of Law Firm	
Address	
Law Firm's telephone number	(+____)
Mobile phone number	(+____)
Facsimile Number	(+____)
E-Mail Address	

▪ **Applicant Accountant information**

Name of CEO of accountancy firm	
Name of Accountancy Firm	
Address	
Accountant Firm's telephone number	(+____)
Mobile phone number	(+____)
Facsimile Number	(+____)
E-Mail Address	

▪ **Applicant Reference Bank information**

Name of Bank and Branch	
Address of Bank	
SWIFT Code – BIC Bank Number	
Account Number (prefer IBAN number)	
Name(s) of Account Signatory (ies)	
Date Account established	
Name of Bank Officer	
E-mail Address of Bank Officer	
Telephone Number	(+____)
Facsimile Number	(+____)

■ **Please indicate your industry / activity field**

- |   |  |
|---|--|
| <input type="checkbox"/> Accommodation and food service activities                            | <input type="checkbox"/> Activities of extraterritorial organisations and bodies |
| <input type="checkbox"/> Activities of households as employers                                | <input type="checkbox"/> Administrative and support service activities           |
| <input type="checkbox"/> Agriculture, Forestry and Fishing                                    | <input type="checkbox"/> Arts, entertainment and recreation                      |
| <input type="checkbox"/> Commodities trade  | <input type="checkbox"/> Construction  |
| <input type="checkbox"/> Education  | <input type="checkbox"/> Electricity, gas, steam and air conditioning supply     |
| <input type="checkbox"/> Fashion  | <input type="checkbox"/> Financial and insurance activities                      |
| <input type="checkbox"/> Human health and social work activities                              | <input type="checkbox"/> Information and communication                           |
| <input type="checkbox"/> Mining and Quarrying   | <input type="checkbox"/> Non-Profit & Charity Organizations & associations       |
| <input type="checkbox"/> Other service activities   | <input type="checkbox"/> Professional, scientific and technical activities       |
| <input type="checkbox"/> Public administration and defence                                    | <input type="checkbox"/> Real-Estate activities                                  |
| <input type="checkbox"/> Renewable Energies   | <input type="checkbox"/> Transportation and storage                              |
| <input type="checkbox"/> Water supply, sewerage, waste management and remediation             |  |
| <input type="checkbox"/> Wholesale and retail trade; repair of motor vehicles and motorcycles |  |

■ **Does your activity require a specific license / authorization / regulator registration ?**

- Yes  No

if Yes :

License / Authorization number : \_\_\_\_\_

Details of authorized activities: \_\_\_\_\_  
 \_\_\_\_\_

Issuing date : \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

Validity date : \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_ or Permanent until revocation

Issuing authority : \_\_\_\_\_

■ **Was any of your directors / shareholders ever involved in any of the following ?**

- |  |  |
|--|--|
| <input type="checkbox"/> Bankruptcy or Prohibition to Manage a company   | <input type="checkbox"/> Money-Laundering or Financial Crime Investigation         |
| <input type="checkbox"/> Civil / Penal Prosecution   | <input type="checkbox"/> Prohibition to Manage/Participate in Financial Activities |
| <input type="checkbox"/> Management of activities related to Military/Adult & Pornography/Gambling&Casinos/Esotericism/Drugs |  |

■ **Please select the typology of services that you will require from us**

- Brokerage Services : Financial (continue to page 4 after this page)
- Brokerage Services : Commodities (go directly to page 6 after this page)
- Brokerage Services : Commercial Representation (go directly to page 7 after this page)
- Brokerage Services : Others (go directly to page 8 after this page : FREE FORMAT MESSAGE)

- **Please provide detailed information regarding your request :**
- FX Trading (via introduction to regulated FX Traders or Performance of High Volume Currency Change)**

Available Funds : \_\_\_\_\_ Million (\_\_\_\_,000,000.00 )  
 Currency of origin:  EUR  USD  GBP  CHF  Other : \_\_\_\_\_  
 Funds are currently in custody at (name of bank): \_\_\_\_\_, branch : \_\_\_\_\_

- Asset Management, Private Placement Programs & High-Yield Investment Programs (via introduction to regulated/ non-regulated Asset Managers and Traders)**

Available Funds : \_\_\_\_\_ Million (\_\_\_\_,000,000.00 )  
 Currency of origin:  EUR  USD  GBP  CHF  Other : \_\_\_\_\_  
 Funds are currently in custody at (name of bank): \_\_\_\_\_, branch : \_\_\_\_\_

Your preferred investment method:  
 Cash funds       Credit facility backed by BG/SBLC       Other : \_\_\_\_\_

Risk Profile :  
 Zero-risk (risk-adverse)       Low-risk (up to 15% potential losses)  
 Medium-risk (up to 25% potential losses)       High-risk (up to 50% potential losses)  
 Risk-addicted/Dynamic (above 50% potential losses)

Investment Period :  
 Less than 1 month     1-3 months       3-6 months       6-12 months  
 1-2 years       3-5 years       5-10 years       Above 10 years

Expected Return On Investment :  
 On Zero-risk profile : \_\_\_\_\_%       On Low-risk profile : \_\_\_\_\_%  
 On Medium-risk profile : \_\_\_\_\_%       On High-risk profile : \_\_\_\_\_%  
 On Risk-addicted profile : \_\_\_\_\_%       Other (specify): \_\_\_\_\_%

Interests/Profits preferred payment schedule :  
 Weekly       Monthly       Quarterly       Yearly

Your Interests/Profits receiving bank account:  
 Already existing, details to be advised     Not existing, requesting BHG assistance for account opening

Your tax profile in the country of \_\_\_\_\_ :  
 Corporate       Private Individual

Your preferred underlying investment/placement products and/or activities:  
 Corporate investments : Start Up stage       Corporate investments : Growth & Maturity stages  
 Buy & Sale of Medium/Long-Term Note (s)     Buy & Sale of Structured Note (s)  
 Primary markets Stock(s)/ Bonds       Commodities/ Food / Metals trade  
 Currencies/ FX trade       Other financial trading products/activities

**Bank Instrument(s) transactions : Bank Guarantee(s) / Stand-By Letter(s) of Credit / Medium-Term Note(s) / Documentary Letter(s) of Credit / Others on demand (via introduction to regulated/ non-regulated Financial platforms and Traders)**

Your position/role in the transaction :

Principal                       Mandate                       Broker

**NB:**

- We only accept application(s) from Mandates or Brokers with duly signed Representation documents
- We only accept application(s) from Providers or Monetizers who are ready, willing and able to demonstrate their performance capabilities (Proof of Funds, Proof of Credit Lines, BCL, Bank RWA letters...)

Your instrument criteria/features :

Format:                       BG (URDG, ICC758)    SBLC (UCP 600)    MTN                       Other : \_\_\_\_\_

Underlying assets:       Cash Funds                       Hard assets (art masterpieces, real-estate, precious metals...)

Contract Face Value:    \_\_\_\_\_ Million (\_\_\_\_,000,000.00 )

Tranches Face Value:    \_\_\_\_\_ Million (\_\_\_\_,000,000.00 )                       Not Applicable

Currency:                       EUR       USD       GBP       CHF                       Other : \_\_\_\_\_

Custodial bank :         \_\_\_\_\_, branch : \_\_\_\_\_                       Not Applicable

Issuing date :            \_\_\_\_/\_\_\_\_/\_\_\_\_                       Not issued yet (FC)

Maturity date :            \_\_\_\_/\_\_\_\_/\_\_\_\_                       Other : \_\_\_\_\_

Interest coupon:        \_\_\_\_% per year                       Not Applicable

Target LTV:                \_\_\_\_% of face value                       Not Applicable

Target Price:              \_\_\_\_% of face value                       Not Applicable

Your preferred closing method:

SWIFT                       EUROCLEAR                       HARD COPY + SWIFT confirmation

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**Buy or Sale of Commodities**

Your position/role in the transaction :

 Seller                       Buyer                       Mandate                       Broker

**NB:**

- We only accept application(s) from Mandates or Brokers with duly signed Representation documents
- We only accept application(s) from Sellers or Buyers who are ready, willing and able to demonstrate their performance capabilities (Proof of Funds, Proof of Product, BCL, Bank RWA letters, track record...)

Typology of Commodities :

<input type="checkbox"/> Food : Sugar	<input type="checkbox"/> Food : Rice
<input type="checkbox"/> Food : Wheat	<input type="checkbox"/> Food : Other _____
<input type="checkbox"/> Energy : Oil	<input type="checkbox"/> Energy : Thermal Coal _____
<input type="checkbox"/> Energy : Gas	<input type="checkbox"/> Energy : Other _____
<input type="checkbox"/> Precious Metals : Gold, Diamonds, Platinum...	<input type="checkbox"/> Precious Metals : Other _____
<input type="checkbox"/> Commodities : Mass Consumption Goods	<input type="checkbox"/> Other commodities : _____

Transaction key terms :

Contract:	<input type="checkbox"/> Spot deal	<input type="checkbox"/> Contract	<input type="checkbox"/> Test deal for potential contract
Quantity:	_____		
Packaging :	_____		
Certifications:	_____		
Market Price Fixing:	_____		
Current Market price:	_____ Thousand USD (____,000,000.00 \$) per <input type="checkbox"/> kg <input type="checkbox"/> liter <input type="checkbox"/> unit <input type="checkbox"/> other : _____		
Your sale/purchase price :	_____ Thousand USD (____,000,000.00 \$) per <input type="checkbox"/> kg <input type="checkbox"/> liter <input type="checkbox"/> unit <input type="checkbox"/> other : _____		
Discount (gross/net) :	_____ / _____		
Incoterms:	<input type="checkbox"/> DAT (Delivered At Terminal) <input type="checkbox"/> DAP (Delivered at Place) <input type="checkbox"/> EXW (Ex Works) <input type="checkbox"/> FCA (Free Carrier) <input type="checkbox"/> FAS (Free Alongside Ship) <input type="checkbox"/> FOB (Free On Board) <input type="checkbox"/> CFR (Cost and Freight) <input type="checkbox"/> CIF (Cost, Insurance and Freight) <input type="checkbox"/> CIP (Carriage and Insurance Paid) <input type="checkbox"/> CPT (Carriage Paid to) <input type="checkbox"/> DDP (Delivered, Duty paid)		

<b>Free format message</b> <i>(please indicate in the opposite box all key specifications of your commodity(ies) AND any other useful information such as export authorizations, certifications, sales zones restriction, etc...)</i>	
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**Commercial Representation**

Please provide detailed information pertaining to your products / services:

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Please provide information pertaining to your current distribution channels :

- |  |   |
|--|---|
| <input type="checkbox"/> Online B2B / B2C                                | <input type="checkbox"/> In-House Field Sales Force |
| <input type="checkbox"/> External Field Sales Force (agents, brokers...) | <input type="checkbox"/> Special Events, Shows      |
| <input type="checkbox"/> Permanent stores/offices                        | <input type="checkbox"/> Pop-up stores              |
| <input type="checkbox"/> Co-branding / Partner companies                 | <input type="checkbox"/> Other : _____              |

Please indicate us the target geographical markets for which you require our commercial assistance :

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> France                          | <input type="checkbox"/> United Kingdom           | <input type="checkbox"/> Luxembourg           |
| <input type="checkbox"/> Belgium                         | <input type="checkbox"/> Monaco                   | <input type="checkbox"/> Spain                |
| <input type="checkbox"/> Italy                           | <input type="checkbox"/> Germany                  | <input type="checkbox"/> United Arab Emirates |
| <input type="checkbox"/> Qatar                           | <input type="checkbox"/> United States of America | <input type="checkbox"/> Canada               |
| <input type="checkbox"/> Cameroun                        | <input type="checkbox"/> Senegal                  | <input type="checkbox"/> Turkey               |
| <input type="checkbox"/> Other(s): _____ / _____ / _____ |   |   |

How do you intend to pay for our commercial representation services ?

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> % on gross sales | <input type="checkbox"/> % on gross margin | <input type="checkbox"/> Fixed monthly income (man-hours rate) |
|---|--|--|

Please indicate any further information that you deem necessary/ useful for us to understand your needs:

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**Other BROKERAGE SERVICES**

Please indicate any information that you deem necessary/ useful for us to understand your needs:

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